
DDQT

Arun Manohar

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README

Defect Detection and Quantification Toolbox (DDQT)

Arun Manohar (2021)

The main goals of this Python toolbox are:

- Reading in Matlab data
- Visualizing data
- Creating features in the time and spatial domain
- Feature reduction using PCA
- Identifying defects using Mahalanobis distance and Outlier Forest
- Quantifying results using ROC curves
- Visualizing outcomes

There are numerous avenues to enhance this toolbox. I welcome any contributions to this program. Some possible areas that could use improvements are:

- Improvements in feature space
- Improvements to defect detection algorithms
- Coding enhancements
- Documentation enhancements
- Currently, only certain time stamps are used in calculating computationally intensive features. There is scope to write more computationally efficient code to handle more time stamps (if not everything...)
- Possibility of including circular defects - currently, defects are defined using polygon vertices.

If you would like to collaborate with me in improving this toolbox or if you would like to provide sample data, please reach out to me at

```
>>>my_first_name = 'arun'  
>>>print(str(my_first_name) + 'manol21@outlook.com')
```

Feel free to fork and add any enhancements, and let me know if a pull request is needed to merge the changes.

If you use this work in your research, please cite using;

```
@software{ArunManohar_20210322,  
  author      = {Arun Manohar},  
  title       = {{Defect Detection and Quantification Toolbox (DDQT)}},  
  month       = mar,
```

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```
year      = 2021,  
publisher = {Zenodo},  
version   = {v0.1.00},  
doi       = {},  
url       = {}  
}
```

Thank you!

GETTING STARTED

2.1 Dependencies

In order to run the program, you need [Python3](#) and the following dependencies.

- [SciPy](#)
- [Matplotlib](#)
- [NumPy](#)
- [PyWavelets](#)
- [sklearn](#)

2.2 Installing

Either use *git-clone* using the following command;

```
git clone https://github.com/arunmano121/DDQT.git MyDDQT
```

or manually download the two python files into your desired working directory. In the example *MyDDQT* is an example. You can use any name of your choice.

2.3 Running the program

cd into your working directory and run the program.

```
cd MyDDQT  
./DefectDetection.py
```

2.4 Configuring Parameters

The program is designed so that all parameter settings need to be only edited within the *main()* module.

The following block is used to load Matlab data, this assume a dataset named *sample.mat* containing a table name *rawData1*. The output data is stored as *ndarray* and is named *mat*. This will be used for further processing.

```
print('Reading in raw matlab data using scipy IO modules...')
# Example - this assumes a matlab dataset named defect.mat and the
# table named rawData inside the dataset
dataset = 'sample.mat'
tablename = 'rawData1'
mat = read_matlab_data(dataset=dataset, table=tablename)
```

The data is assumed in the format containing time (*axis=0*) followed by spatial axis 1 (*axis=1*) and spatial axis 2 (*axis=2*) respectively. If the Matlab dataset contains data in a different axis order, re-arrange using *numpy.moveaxis* before proceeding to subsequent steps.

Data is described by the following block.

```
[t_max, s1_max, s2_max] = mat.shape
print('Shape of the data matrix')
print('t_max: %d s1_max: %d s2_max: %d' % (t_max, s1_max, s2_max))
```

The range of the three different axis is set.

```
# scanning parameters
# in this sample code, time axes ranges from t_lb to t_ub over t_max
t_lb = 0*1e-1
t_ub = t_max*1e-1
t = np.linspace(t_lb, t_ub, t_max)

# s1 axis range from s1_lb to s1_ub divided over s1_max steps
s1_lb = 0
s1_ub = 200
s1 = np.linspace(s1_lb, s1_ub, s1_max)

# s2 axis range from s2_lb to s2_ub divided over s2_max steps
s2_lb = 0
s2_ub = 250
s2 = np.linspace(s2_lb, s2_ub, s2_max)
```

Units along the three different axis is held in a dictionary named *units*. In this example, the time axis is defined in *micro seconds*, while the two spatial axis are in *mm*. Set the appropriate units based on the experiment.

```
# dictionary object to hold the units along the different axis
units = {'t_units': '$\\mu$S', 's1_units': 'mm', 's2_units': 'mm'}
```

For ease of plotting, the *s1* and *s2* axis are converted to 2D meshgrid.

```
# meshgrid conversion in 2D
s1_2d, s2_2d = np.meshgrid(s1, s2, indexing='ij')
```

Raw data is visualized at four random spatial points by charting the time series.

```
# raw data visualization
print('Pick 4 random spatial coordinates and chart the time-series...')
visualize_time_series(mat, t, s1, s2, units)
```


The spatial data is visualized at different time stamps as needed. In the example below, the spatial data is visualized between time indices of 450 (t_{min_idx}) to 500 (t_{max_idx}) in steps of 25 (del_t_idx).

```
print('Visualize spatial slices of data at certain time stamps...')
t_min_idx = 450
t_max_idx = 500
del_t_idx = 25
visualize_spatial_data(mat, t, s1_2d, s2_2d,
                       t_min_idx, t_max_idx, del_t_idx, units)
```

The raw time series is very noisy and often a low-pass filter is desired. In this example, the time series is filtered using a simple *mean* filter. The filter averages using the *size* parameter. The bigger the number, the more aggressive the filtering is.

```
# time series filtering of data
print('performing mean filtering at each spatial location...')
mat = mean_filter(mat, t, s1, s2, units, size=20, plot_sample=True)
```

The defects are defined using the *list* structure. As many defects can be setup. The defects can be defined using as many vertices as needed. Each defect is a *list* of *tuples*. The defect names or labels are a *list* containing *strings*.

```
# define defects
print('Defining coordinates of defects...')
# define as many defects as needed
# each defect should contain the coordinates of the vertices
# the structure is list of tuples
def1 = [(20, 20), (50, 10), (30, 40), (20, 30)]
def2 = [(120, 120), (180, 120), (150, 180)]
def3 = [(60, 60), (80, 60), (80, 80), (60, 80)]

# list contains all the defects
defs_coord = [def1, def2, def3]
def_names = ['D1', 'D2', 'D3'] # names of defects
defs = define_defects(s1, s2, defs_coord, def_names)
```

Calculation of features at every time index is computationally intensive. A sample of time stamps is defined. t_stamps defines the indices at which features are calculated, and where performance is finally measured.

```
# sample time indices where computationally intensive features
# will be calculated.
t_stamps = range(500, 800, 100)
```

Feature engineering is very important and is based on problem at hand and creativity of the researcher. Feel free to define additional features as necessary. In the sample, the following family of features are calculated.

Identity features.

```
# identity features
features_id = {}
features_id['id'] = mat
```

Gradient based features.

```
# compute gradient features
print('Calculating spatial and temporal gradients...')
features_grad = {}
features_grad = compute_features_grad(mat)
```

Spatial domain features are calculated at desired time indices defined above.

```
# compute spatial domain features
print('Calculating spatial features at every location and time...')
features_sd = {}
features_sd = compute_features_sd(mat, t_stamps)
```

Time domain features are calculated at desired time indices defined above.

```
# compute time domain features
print('Calculating temporal features at every spatial location...')
features_td = {}
features_td = compute_features_td(mat, t_stamps)
```

Wavelet decomposition features are calculated at desired time indices defined above.

```
# compute wavelet decomposition features
print('Calculating wavelet transformed features at every location...')
features_wav = {}
features_wav = compute_features_wav(mat, t_stamps)
```

Once features are calculated, it is often desired to visualize the feature. The *visualize_features* accomplishes this as shown below. In the examples, *s1_grad* and *s2_grad* features belonging to *features_grad* are visualized.

```
# visualize feature
print('Visualizing computed features...')
t_idx = 650
visualize_features(mat, features_grad, s1_2d, s2_2d, 's1_grad',
                  t_idx, t, units)
visualize_features(mat, features_grad, s1_2d, s2_2d, 's2_grad',
                  t_idx, t, units)
```

The input features across all families are now combined into a single *feature* family for further processing. *combine_features* function combines the family of features as defined in the list named *feature_list*.

```
# combine features
print('Combining all features from different methods into a dict...')
feature_list = [features_id, features_grad, features_sd,
               features_td, features_wav]
features = {}
features = combine_features(feature_list)
print('Total number of features is %d' % (len(features)))
```

The features are scaled using the minimum and maximum values, so that the resulting features lie between 0-1. Scaling features has proven to be useful in Machine Learning.

```
# normalize features
print('Normalize features...')
features = normalize_features(features, t_stamps)
```

Outlier analysis is performed using two methods - Mahalanobis distance and Outlier Forest. If PCA is desired to reduce input dimensionality, set *pca_var* to the *Desired Variance* level. For example, if *pca_var* is set to 0.9, then it is implied that 90% variance is desired. Accordingly, PCA will choose the number of dimensions that are needed to achieve this. The result of Mahalanobis distance is output to the *ndarray* named *mah*.

```
# Outlier analysis using Mahalanobis distance
# if PCA is required to trim features, set pca_var to the desired
# explained variance level - in this example, 90% variance is desired
print('Mahalanobis distance to identify outliers...')
```

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```
mah = {}
mah = outlier_mah(features, t_stamps, pca_var=0.9)
```

Another popular method to detect outliers uses *Isolation Forest* method. The result is output to the *ndarray* named *iso*.

```
# fit Isolation Forest model
# if PCA is required to trim features, set pca_var to the desired
# explained variance level - in this example, 90% variance is desired
print('Fit Isolation Forest model...')
iso = {}
iso = fit_isolationforest_model(features, t_stamps, pca_var=0.9)
```

In order to better visualize the results contained in *mah* and *iso*, the frames are scaled between 0-1 using the minimum and maximum values of the arrays.

```
# scale frames between 0-1
print('Scaling frames between 0-1 for better interpretability...')
mat = scale_frames(mat, t_stamps)
mah = scale_frames(mah, t_stamps)
iso = scale_frames(iso, t_stamps)
```

defect_detection_metrics will compute the performance of the algorithms using *True Positive Rate (TPR)*, *False Positive Rate (FPR)* and *Area Under Curve (AUC)* metrics. The function will also output the *TPR* at *FPR* rates of 2%, 5% and 10%. If *plot* parameter is set to *True*, the *Reciever Operating Characteristic (ROC)* curves are plotted to show the improvement obtained over the raw data.

```
# Defect detection metrics
print('Quantification of defect detection and plotting the results...')
defect_detection_metrics(mat, mah, iso, s1_2d, s2_2d,
                        defs, t_stamps, t, units, plot=True)
```


HOW TO CITE

if you use this work in your research, please cite using;

```
@software{ArunManohar_20210322,  
  author      = {Arun Manohar},  
  title       = {{Defect Detection and Quantification Toolbox (DDQT)}},  
  month       = mar,  
  year        = 2021,  
  publisher    = {Zenodo},  
  version     = {v0.1.00},  
  doi         = {},  
  url         = {}  
}
```

Thank you!

DEFECTDETECTONTOLBOX

4.1 DefectDetection module

`DefectDetection.annotate_plots(ax, defs)`

Annotate charts with locations of defects

Parameters

- **ax** (*axis object*) – plot axis
- **defs** (*dict*) – defect parameters

Returns None

`DefectDetection.combine_features(feature_list)`

Combine all features from different methods into one single dict

Parameters **feature_list** (*list*) – list containing all entries of input features that need to be concatenated

Returns features: feature dictionary containing all the input features

Return type dict

`DefectDetection.compute_features_grad(mat)`

Calculates spatial and temporal gradients

Parameters **mat** (*ndarray*) – raw data

Returns features_grad: dictionary containing spatial and temporal gradient features

Return type dict

`DefectDetection.compute_features_sd(mat, t_stamps)`

Calculates spatial features at every location and time stamp

Parameters

- **mat** (*ndarray*) – raw data
- **t_stamps** (*list*) – time stamps at which time domain features are calculated

Returns features_sd: dictionary containing spatial domain features

Return type dict

`DefectDetection.compute_features_td(mat, t_stamps)`

Calculating temporal features at every spatial location

Parameters

- **mat** (*ndarray*) – raw data

- **t_stamps** (*list*) – time stamps at which time domain features are calculated

Returns features_td: dictionary containing time domain features

Return type dict

`DefectDetection.compute_features_wav(mat, t_stamps)`

Calculates wavelet transformed features at every location

Parameters

- **mat** (*ndarray*) – raw data
- **t_stamps** (*list*) – time stamps at which wavelet features are calculated

Returns features_wav: dictionary containing wavelet features

Return type dict

`DefectDetection.defect_detection_metrics(mat, mah, iso, s1_2d, s2_2d, defs, t_stamps, t, units, plot=True)`

Quantification of defect detection, and plotting the results

True-Positive Rate (TPR), False-Positive Rate (FPR), Receiver Operating Curves (ROC) are calculated for the raw data, Mahalanobis distance and result of Isolation Forest method. In addition, Area Under Curve (AUC) is also calculated to quantify the performance. Often, performance in terms of higher TPR is desired at lower FPR. To aid this, TPR values are calculated at 2%, 5% and 10% FPR. Further, the results are presented graphically if needed.

Parameters

- **mat** (*ndarray*) – raw data - 3D *float* array
- **mah** (*ndarray*) – result of performing Mahalanobis distance - 3D *float* array
- **iso** (*ndarray*) – result of performing Isolation Forest algorithm - 3D *float* array
- **s1_2d** (*ndarray*) – 2D meshgrid representation of s1 axis
- **s2_2d** (*ndarray*) – 2D meshgrid representation of s2 axis
- **defs** (*dict*) – defect parameters
- **t_stamps** (*list*) – time stamps at which features were calculated and where results are desired
- **t** (*list*) – time coordinates
- **units** (*dict*) – units of the different dimensions
- **plot** (*Bool*) – Boolean to indicate if plots are needed to visualize

Returns None

`DefectDetection.define_defects(s1, s2, defs_coord, def_names)`

Define coordinates of defects

Parameters

- **s1** (*list*) – spatial axis 1
- **s2** (*list*) – spatial axis 2
- **defs_coord** (*list*) – list containing all defects - each defect contains a list of tuples containing the vertices of defect
- **def_names** (*dict*) – dictionary containing the names of defects

Returns defs: dictionary containing all the necessary parameters of all the defined defects

Return type dict

`DefectDetection.fit_isolationforest_model(features, t_stamps, pca_var)`
Fit Isolation Forest model

Parameters

- **features** (*dict*) – dictionary containing all input features
- **t_stamps** (*list*) – time stamps at which features were calculated and where results are desired
- **pca_var** (*float*) – contains the desired explained variance parameter, if less than 1.0, PCA will be performed

Returns iso: result of Isolation Forest model over the data

Return type ndarray

`DefectDetection.main()`
All the subroutines will be called from here

`DefectDetection.mean_filter(mat, t, s1, s2, units, size, plot_sample)`
performs mean filtering at each location

Parameters

- **mat** (*ndarray*) – raw data
- **t** (*list*) – time axis
- **s1** (*list*) – spatial axis 1
- **s2** (*list*) – spatial axis 2
- **units** (*dict*) – units of the different dimensions
- **size** (*int*) – number of elements to use in the mean filter. The higher, the more aggressive the filtering
- **plot_sample** (*Bool*) – Boolean to indicate if time series plots are needed to compare raw and filtered data

Returns filt_mat: mean filtered raw data based on kernel size

Return type ndarray

`DefectDetection.normalize_features(features, t_stamps)`
Normalize features

Parameters

- **features** (*dict*) – dictionary containing all input features
- **t_stamps** (*list*) – time stamps at which features were calculated and where results are desired

Returns features: dictionary containing all normalized features

Return type dict

`DefectDetection.outlier_mah(features, t_stamps, pca_var)`
Mahalanobis distance to identify outliers

Parameters

- **features** (*dict*) – dictionary containing all input features

- **t_stamps** (*list*) – time stamps at which features were calculated and where results are desired
- **pca_var** (*float*) – contains the desired explained variance parameter, if less than 1.0, PCA will be performed

Returns mah: contains the result of computing Mahalanobis distance over the data

Return type ndarray

DefectDetection.**read_matlab_data** (*dataset, table*)

Reads in raw matlab data using scipy IO modules

Parameters

- **dataset** (*ndarray*) – name of the Matlab dataset
- **table** (*str*) – name of table within Matlab

Returns mat: matlab data that has been converted to numpy array

Return type ndarray

DefectDetection.**scale_frames** (*arr, t_stamps*)

Scale frames between 0-1 for better interpretability

Parameters

- **arr** (*ndarray*) – input array that needs to be scaled
- **t_stamps** (*list*) – time stamps at which features were calculated and where results are desired

Returns outarr: scaled array where the elements lie between 0-1

Return type ndarray

DefectDetection.**visualize_features** (*mat, features, s1_2d, s2_2d, feature, t_idx, t, units*)

Visualize computed features

Parameters

- **mat** (*ndarray*) – raw data
- **features** (*dict*) – dictionary containing input features
- **s1_2d** (*ndarray*) – 2D meshgrid representation of s1 axis
- **s2_2d** (*ndarray*) – 2D meshgrid representation of s2 axis
- **feature** (*str*) – desired feature that needs to be visualized
- **t_idx** (*int*) – time index at which visualization is needed
- **units** (*dict*) – units of the different dimensions

Returns None

DefectDetection.**visualize_spatial_data** (*mat, t, s1_2d, s2_2d, t_min_idx, t_max_idx, del_t_idx, units*)

Visualize spatial slices of data at certain time stamps

Parameters

- **mat** (*ndarray*) – raw data
- **t** (*list*) – time axis
- **s1_2d** (*ndarray*) – 2D meshgrid representation of s1 axis

- **s2_2d** (*ndarray*) – 2D meshgrid representation of s2 axis
- **t_min_idx** (*int*) – lower bound time index for visualization
- **t_max_idx** (*int*) – upper bound time index for visualization
- **del_t_idx** (*int*) – time index steps for visualization
- **units** (*dict*) – units of the different dimensions

Returns None

`DefectDetection.visualize_time_series(mat, t, s1, s2, units)`

Pick 4 random spatial coordinates and chart the time-series

Parameters

- **mat** (*ndarray*) – raw data
- **t** (*list*) – time axis
- **s1** (*list*) – spatial axis 1
- **s2** (*list*) – spatial axis 2
- **units** (*dict*) – units of the different dimensions

Returns None

4.2 point_in_convex_polygon module

Helper module to determine if a point lies within a polygon

Script is based on [Ref1](#) and [Ref2](#).

class `point_in_convex_polygon.Point` (*s1, s2*)

Bases: `object`

Point class to define a point

`point_in_convex_polygon.is_within_polygon` (*polygon, point*)

Determine if a point lies within the polygon

Parameters

- **polygon** (*list of points*) – polygon definition using a set of points
- **point** – a single point

Returns True/False: Depending on if point lies within polygon

Return type Bool

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CONTACT

Arun Manohar

```
>>>my_first_name = 'arun'  
>>>print(str(my_first_name) + 'manol21@outlook.com')
```


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